#9

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

§ § §

Applicant:

Bryan George Way, et al.

Serial No.:

Not yet assigned

Filed:

Concurrently Herewith

For:

Autonomous Multi-Services

Card

GROUP ART UNIT: Not Yet Assigned

EXAMINER: Not Yet Assigned

10/006406 11/30/01

U.S. Patent and Trademark Office Box NO FEE P.O. Box 2327 Arlington, VA 22202

I hereby certify that this correspondence, PTO Form 1449 with references, is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: U.S. Patent and Trademark Office, Box NO FEE, P.O. Box 2327, Arlington, VA 22202, on

(Date of Deposit)

## INFORMATION DISCLOSURE STATEMENT

Atty. Dkt. No.: IDF 1239

(4000-04901)

## Commissioner:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware.

Consideration of the following related applications and additional information (including any relevant co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

Serial No. 09/197,044, filed October 12, 1999, entitled Autonomous Multi-Services Card.

Pursuant to 37 C.F.R. § 1.98 (d), copies of any patent, publication, pending U.S. application or other information, as specified in 37 C.F.R. § 1.98 (a), listed in the attached

information disclosure statement and Form PTO-1449 are not provided herewith, if they have been previously submitted to, or cited by, the Office in earlier U.S. Patent Application No. 09/197,044. The present application claims the benefit of the effective filing date of that earlier application. The Information Disclosure Statement filed in that earlier application complies with 37 C.F.R. § 1.98 (a)-(c).

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

Respectfully submitted,

Rodney B. Carroll Reg. No. 39,624

Conley, Rose & Tayon, P.C. 5700 Granite Parkway, Suite 330 Plano, Texas 75204

(972) 731-2288





**FORM PTO-1449** U.S. DEPARTMENT OF COMMERCE (Modified)

PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. IDF 1239A (4000-04901)

SERIAL NO. Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

FOR: AUTONOMOUS MULTI-SERVICES CARD

APPLICANTS: Bryan George Way, et al.

FILING DATE: Concurrently Herewith GROUP 2664

	_							U. S.	PATENT DOC	UMENTS			
Examiner Initial				Pate	ent Nu	mber			Issue Date	Patentee	Class	Sub- class	Filing Date (If Appropriate)
	AA	5	7	3	4	6	5	6	03/1998	Prince, et al.	370	401	
	AB	5	7	3	7	3	3	3	04/1998	Civanlar, et al.	370	352	
_	AC	5	7	8	1	5	4	9	07/1998	Dai	370	398	
	AD	5	8	8	1	1	4	2	03/1999	Frankel, et al.	379	167	
	AE	5	9	6	3	6	2	0	10/1999	Frankel, et al.	379	93.05	
	AF	6	0	7	5	7	8	4	06/2000	Frankel, et al.	370	356	
	AG	6	1	2	8	2	9	3	10/2000	Pfeffer	370	359	
	АН	6	1	4	1	3	3	9	10/2000	Kaplan, et al.	370	352	
·	Al	6	2	0	8	6	5	8	03/2001	Pickett	370	401	

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION														
			[	Docum	nent N	lumbe	er		Publication Date	Country or Patent Office	Class	Sub- Class	Translation	
													Yes	No

	OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)
ВА	 The PacComm '320' Series Dual-modem HF/VHF Packet Controller: <u>www.paccomm.com</u>
ВВ	The Residential Gateway Functional Specifications: www.interactivehg.org/councils/html2/feigel/webspec.htm
xaminer	Date Considered

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.